

QUESTIONS & ANSWERS

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Financial

Series-7

Series 7



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QUESTION: 8

UGMA stands for _____.

- A. Uniform Grant to Minors Act
- B. Uniform Grant to Minorities Act
- C. Uniform Gift to Minors Act
- D. Uniform Gift to Minorities Act

Answer: C

QUESTION: 9

For the New York Stock Exchange, a company must have at least _____ million

- A. 1.0, 2000, 150
- B. 1.0, 3000, 100
- C. 1.1, 2000, 100
- D. 1.1, 3000, 150

Answer: C

QUESTION: 10

_____ is shorthand for regular way settlement.

- A. T + 3
- B. S + 2
- C. Z + 1.5
- D. N + 3.5

Answer: A

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